

Aligning National Adaptation Plan (NAP) processes to development and budget planning

Planning and budgeting are cross cutting challenges in the whole National Adaptation Plan (NAP) process. Furthermore, aligning the NAP process to a given country's general planning and budgeting procedures is a basic requirement for effective delivery of government services. To address this important issue, the Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) GmbH, on behalf of the German Federal Ministry for Economic Cooperation and Development (BMZ), has developed recommendations for analyzing a country's planning and budgeting procedures from a Climate Change Adaptation perspective. The NAP Align analysis document is designed to offer an overview of the interlinkages between planning and budgeting procedures in relation to the NAP process and the respective institutional arrangements in a given country. Hence, the recommendations for NAP alignment initiate a rapid appraisal in the early stages of NAP processes.

The Technical Guidelines refer to integrating adaptation into overall development planning processes, and they provide initial guidance on analysing the planning and budgeting processes. Building on this, the GIZ, developed these specific recommendations for NAP alignment.

The analysis of planning and budgeting processes relates to several specific steps of the LEG Technical Guidelines, namely the steps on addressing capacity gaps (A3), on integrating climate change adaptation into planning (B5) and on developing an implementation strategy (C2) (Figure 1).

The recommendations for NAP alignment primarily address experts tasked with supporting and building NAP processes in a given country, who are often not experts in public financial management. Furthermore, they are process-oriented. Thus, they should lead to the description of processes and do not involve benchmarking the country's performance against public financial management standards. The objective is to identify elements in national planning and budgeting procedures that make suitable entry points for aligning NAP to the national context.

Why the need for guidance?

In 2012, the LDC Expert Group (LEG) published the NAP Technical Guidelines, which specify the process from conceiving a NAP document to monitoring its implementation.

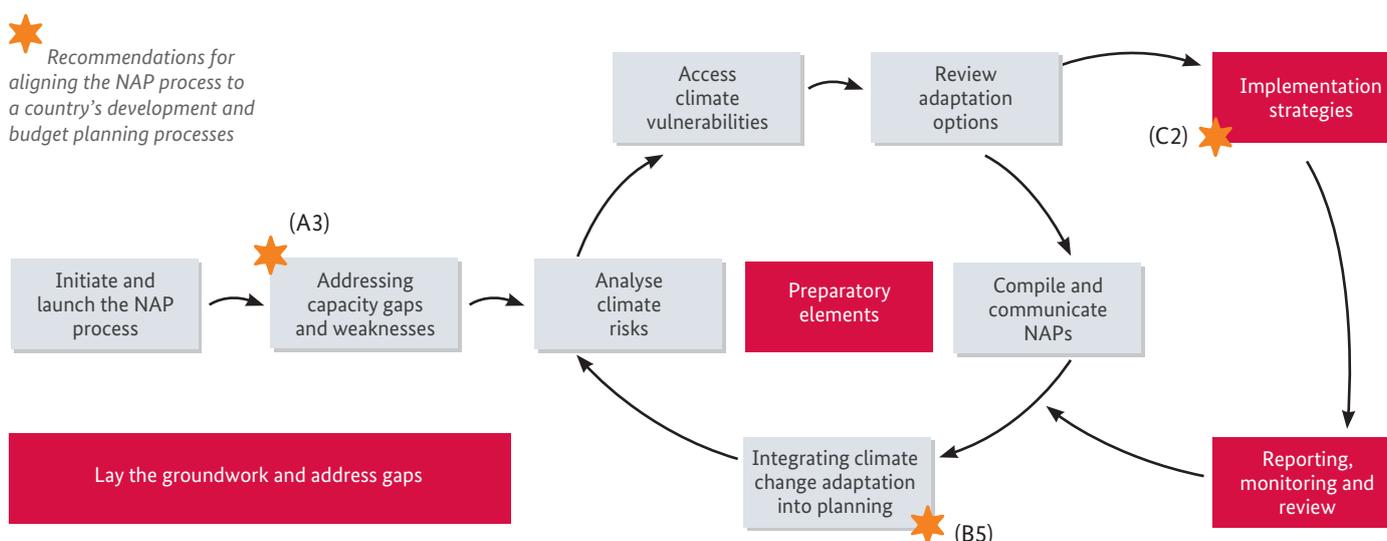


Figure 1: Relation of the NAP Align recommendations to the LEG Technical Guidelines

Interlinkages between planning and budgeting

Development planning and budgeting procedures are closely interrelated in all countries through annual and medium-term procedures. The analysis document explores these interlinkages from two perspectives: the macro view describes the functioning of the overall system, while the programme view comes closer to specific programme-related implementation and shows in more detail how planning and budgeting are interrelated, for example at the level of a sector programme.

Elements of the recommendations for NAP alignment

The interrelations are explored in a step-by-step analysis. The recommendations comprise checklists with questions concerning

six elements to be analysed (Figure 2). The user is thus supported in detecting where and when decisions are taken and how NAP issues can be integrated into national planning.

The way ahead

The guidance is currently being peer-reviewed and tested in countries that cooperate with Germany in the field of national adaptation planning. It is still a work in progress and we invite practitioners to share their experiences in using it with us, with a view to further improvement. For questions or comments please contact Dr Till Below at till.below@giz.de or Ms Nele Bünner at nele.buenner@giz.de.

Find more information on GIZ's NAP support approach and support approach for the NAP process and its specific support tools at www.adaptationcommunity.net → Knowledge → Mainstreaming & NAP

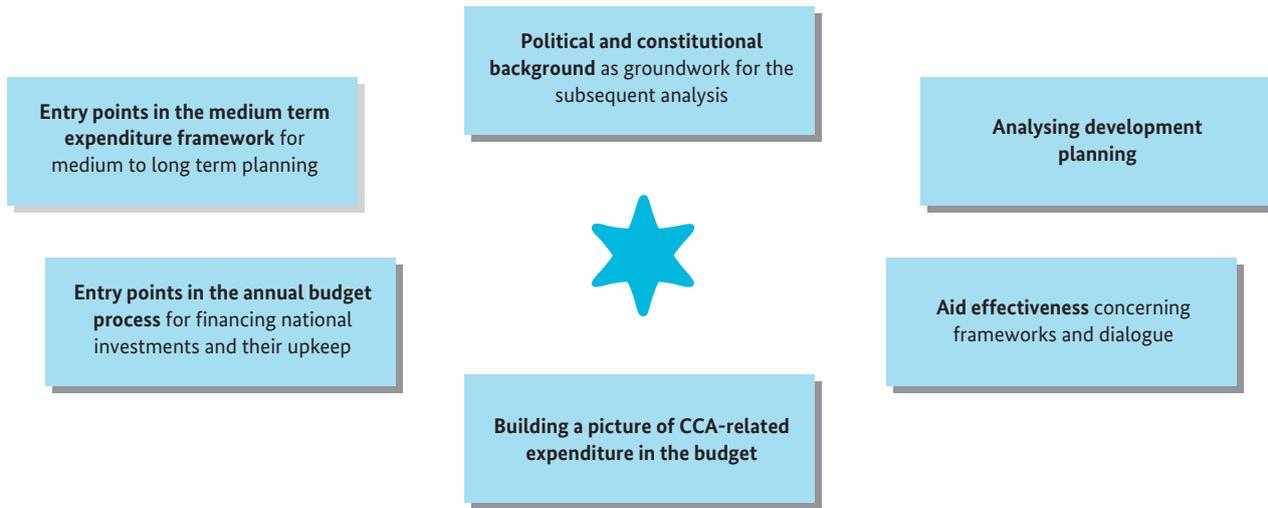


Figure 2: The six elements of the NAP Align analysis

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